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PTO/SB/01 (12-97)

Approved for use through 9/30/00.OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)	Attorney Docket Number	39739-0028 A
	First Named Inventor	ZHIHE LI
	COMPLETE IF KNOWN	
	Application Number	10/626,004
	Filing Date	JULY 23, 2003
	Group Art Unit	UNASSIGNED
Examiner Name	UNASSIGNED	

As a below named Inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHODS FOR IMPROVEMENT OF LUNG FUNCTION USING TGF- β INHIBITORS

(Title of the Invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) . **JULY 23, 2003** as United States Application Number or PCT International

Application Number **10/626,004** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto:

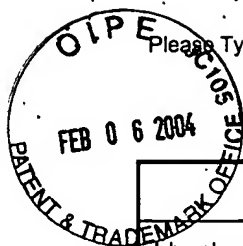
I hereby claim the benefit under 35 U.S.C. 119(h) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.
60/399,369	JULY 25, 2002	

(Page 1 of 4)

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of the application is not designated in the prior United States or PCT international application in the manner provided by the first paragraph of 31 U.S.C. 112. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☒ Customer Number **25213**

OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar
Code Label here

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number
or Bar Code Label

OR ☒ Correspondence address below

Name	GINGER R. DREGER, ESQ.				
Address	Heller Ehrman White & McAuliffe, LLP				
Address	275 Middlefield Road				
City	Menlo Park	State	CA	ZIP	94025
Country	USA	Telephone	(650) 324-7000	Fax	(650) 324-0638

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))			Family Name or Surname				
ZHIHE			LI				
Inventor's Signature					Date	12/20/03	
Residence: City	Foster City	State	CA	Country	USA	Citizenship	Canadian
Post Office Address	751 Crane Avenue						
Post Office Address							
City	Foster City	State	CA	ZIP	94404	Country	USA

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto:

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>3</u> of <u>4</u>
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
DAVID Y.				LIU			
Inventor's Signature		<i>David Y. Liu</i>				Date	
		12-30-03					
Residence: City	Palo Alto	State	CA	Country	USA	Citizenship	US
Post Office Address							
201 Ferne Avenue							
Post Office Address							
City	Palo Alto	State	CA	ZIP	94306	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
JING YING				MA			
Inventor's Signature						Date	
Residence: City	Cupertino	State	CA	Country	USA	Citizenship	US
Post Office Address							
22560 Kinst Court							
Post Office Address							
City	Cupertino	State	CA	ZIP	95014	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
ANDREW A.				PROTTER			
Inventor's Signature						Date	
Residence: City	Palo Alto	State	CA	Country	US	Citizenship	US
Post Office Address							
185 N. California Avenue							
Post Office Address							
City	Palo Alto	State	CA	ZIP	94301	Country	US

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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle (if any))				Family Name or Surname				
DAVID Y.				LIU				
Inventor's Signature					Date			
Residence: City	Palo Alto	State	CA	Country	USA	Citizenship	US	
Post Office Address		201 Ferne Avenue						
Post Office Address								
City	Palo Alto	State	CA	ZIP	94306	Country	US	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle (if any))				Family Name or Surname				
JING YING				MA				
Inventor's Signature					Date		11/5/04	
Residence: City	Cupertino	State	CA	Country	USA	Citizenship	US	
Post Office Address		22560 Kinst Court						
Post Office Address								
City	Cupertino	State	CA	ZIP	95014	Country	US	
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ANDREW A.				PROTTER				
Inventor's Signature					Date			
Residence: City	Palo Alto	State	CA	Country	US	Citizenship	US	
Post Office Address		185 N. California Avenue						
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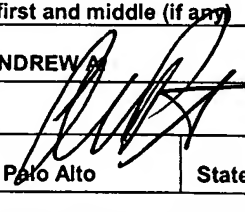
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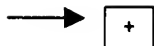
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
DAVID Y.				LIU			
Inventor's Signature						Date	
Residence: City	Palo Alto	State	CA	Country	USA	Citizenship	US
Post Office Address		201 Ferne Avenue					
Post Office Address							
City	Palo Alto	State	CA	ZIP	94306	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
JING YING				MA			
Inventor's Signature						Date	
Residence: City	Cupertino	State	CA	Country	USA	Citizenship	US
Post Office Address		22560 Kinst Court					
Post Office Address							
City	Cupertino	State	CA	ZIP	95014	Country	US
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ANDREW A				PROTTER			
Inventor's Signature						Date	
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City	Palo Alto	State	CA	ZIP	94301	Country	US

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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
GEORGE F.				SCHREINER			
Inventor's Signature						Date	
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Post Office Address							
12774 Leander Drive							
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City	Los Altos	State	CA	ZIP	94022	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
THOMAS-TOAN				TRAN			
Inventor's Signature						Date	
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1168 Ayala Drive, Apt. No. 2							
Post Office Address							
City	Sunnyvale	State	CA	ZIP	94086	Country	US

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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
GEORGE F.				SCHREINER			
Inventor's Signature						Date	
Residence: City	Los Altos	State	CA	Country	US	Citizenship	US
Post Office Address		12774 Leander Drive					
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City	Los Altos	State	CA	ZIP	94022	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
THOMAS-TOAN				TRAN			
Inventor's Signature						Date	
Residence: City	Sunnyvale	State	CA	Country	US	Citizenship	US
Post Office Address		4168 Ayala Drive, Apt. No. 2 3935 MOSHER DR					
Post Office Address							
City	SAN JOSE Sunnyvale 7.7	State	CA	ZIP	95135 94086 7.7	Country	US

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